

54th DGCA APAC, Mongolia

Advance Preparation Brief

Agenda Item 7.3: Session on Aviation Security & Facilitation (AVSEC &FAL) issues

Issue No. 3: Resolution of Aviation Security Deficiencies

At the Plenary Session:

Presentation of the issue by the Secretariat (20 mins)

The Secretariat will provide a presentation relating to the status of the ICAO USAP-CMA audit results. This will highlight that CE 8 – Resolution of Security Concerns of States' Aviation Security Oversight System is almost always the Critical Elements—with the lowest level of effective implementation as demonstrated through the results of ICAO USAP audits.

Sharing of lessons learnt by Republic of Korea (10 mins)

The Republic of Korea will provide a presentation relating to the legal, regulatory and procedural system in place in the State's aviation security and oversight system particularly as it pertains to the enforcement of compliance with national and international Standards and requirements.

Workshop Breakout Brief and Session—(1 hour)

Facilitators: Ross Lockie and Kyal Barter

Participants: DG/DDG/DIR/AVSEC and FAL SME selected from Conference Registration List

The Secretariat will lead a workshop scenario relating to a fictitious State focusing on States' regulatory and enforcement capability relating to Critical Element 8 of States' AVSEC Oversight Systems and the Resolution of Deficiencies. The grouped participants, will be asked to identify any areas of deficiency and possible root causes for lack of resolution of security concerns and identify ways to increase the enforcement capability of a State's designated appropriate authority for aviation security and overall industry and national level compliance with ICAO Annex 17 – *Security* Standards. At the end of the workshop, participants will be requested to report their individual findings and results from the exercise back to the group. Through this exercise, participants will identify some of the key points and root causes for a lack of resolution of security concerns as well as identifying lessons learned and best practices from other participants and States, which may then be used to identify and enhance systems in their own States.

Notes:

1. The appointed Facilitators would facilitate the breakout session and report the outcome of the breakout session to the Plenary Session at the end of the day. A key deliverable

of the Breakout Session will be a proposal of an Action Item for the 54th DGCA APAC Conference.

2. As advance preparation for the session on Resolution of Aviation Security Deficiencies, workshop participants may wish to research the following ;
 - i. What is the current legal and regulatory framework in your State with regard to implementation of enforcement actions and mechanisms to ensure compliance with national and international aviation security requirements?;
 - ii. When was this framework last revised and does it provide for a sliding scale of appropriate sanctions and penalties for continued non-compliance?; and
 - iii. How frequently has any of this framework been implemented? When was the last example of action initiated by the regulatory authority to enforce the implementation of corrective actions to resolve any aviation security deficiencies and/or ensure compliance with national and international aviation security requirements?